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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor:

Bailey, Todd C.

App. No.:

10/781,278

GPAU:

1756

Filing Date:

02/18/2004

Examiner:

Christopher G. Young

Dkt. No.:

PA95-37D13

Conf. No.: 2060

For: Imprint Lithography Template Having a Mold to Compensate

for Material Changes of an Underlying Liquid

INFORMATION DISCLOSURE STATEMENT

Mail Stop AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The following information is submitted in compliance with Applicants' duty of disclosure and pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98. The undersigned brings the patents, publications, applications or other information identified in the attached:

Form(s) PTO/SB/08A and/or PTO/SB/08B or PTO/1449

Other:

to the Examiner's attention in the above-identified application. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

For the convenience of the Examiner, references cited in the parent patent application are notated on the form PTO/SB/08A with bold font.

This Information Disclosure Statement is being filed:

before the mailing date of a first Office action on the merits or before the mailing date of a first Office action after the filing of a request for continued examination under § 1.114. Therefore, no fee is believed required.

Applicants do not believe that any additional fees are due, but if the Commissioner believes additional fees are due, the Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number 502650.

CERTIFICATE OF TRANSMISSION

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as either First Class Mail or Express Mail, in an envelope addressed to the Commissioner for Patents.

Signed:_

Typed Name: Katrina Prati

Date: 3-

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3-30-05

Respectfully Submitted,

Kenneth C. Brooks,

Reg. No. 38,393

Legal Department

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Austin, TX 78758-1536

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PTO/SB/08A (08-03)
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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet	1	of	8

Complete if Known					
APPLICATION NUMBER	10/781,278				
FILING DATE	02/18/2004				
FIRST NAMED INVENTOR	Sreenivasan et al.				
Group Art Unit	1756				
Examiner Name	Young, Christopher G.				
Attorney Docket Number	PA95-37D13				

	U.S. PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	U.S. Patent Do	cument Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
	B1	2002/0098426	A1	Sreenivasan et al.	7/25/2002				
	B2	4,731,155		Napoli et al.	3/15/1988				
	В3	5,425,848		Haisma et al.	6/20/1995				
	B4	5,772,905		Chou	6/30/1998				
	B5	6,309,580	B1	Chou	10/30/2001	10 00000			
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	В8	6,517,977	B2	Resnick et al.	2/11/2003				
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	B14	2004/0008334	A1	Sreenivasan et al.	1/15/2004				
	B15	2004/0009673	A1	Sreenivasan et al.	1/15/2004				
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	B17	5,849,222		Jen et al.	12/15/1998				
	B18	2003/0113638	A1	Mancini et al.	6/19/2003				

Examiner Signature	Date Considered	

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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INIE	ORMATION	i Dieci (SCHOE	APPLICATION NUMBER	10/781,278	
	ATEMENT I			FILING DATE	02/18/2004	
017	AI LIVILIVI I	DI AFFE		FIRST NAMED INVENTOR	Sreenivasan et al.	
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Sheet	2	of	8	Examiner Name	Young, Christopher G.	
				Attorney Docket Number	PA95-37D13	

HIS DATENT DOCUMENTS

Cupations	Cita	U.S. Patent D		M	Date of Publication of	Pages, Columns, Lines,
Examiner Initials*	Cite No. ¹	Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear
	B19	6,646,662	B1	Nebashi et al.	11/11/2003	
	B20	6,776,094	B1	Whitesides et al.	8/17/2004	
	B21	6,518,189	B1	Chou	2/11/2003	
	B22	2002/0132482	A1	Chou	9/19/2002	
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	B34	2004/0118809	A1	Chou et al.	6/24/2004	
	B35	2004/0046288	A1	Chou	3/11/2004 ·	
	B36	2003/0080472	A1	Chou	5/1/2003	

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INIE		u Dieci (SCUDE	APPLICATION NUMBER	10/781,278
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				FILING DATE	02/18/2004
314	KI E WIE IN I	DI AFFL	ICAN I	FIRST NAMED INVENTOR	Sreenivasan et al.
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Sheet	3	of	8	Examiner Name	Young, Christopher G.
				Attorney Docket Number	PA95-37D13

				U.S. PATENT DOCUMEN	13	
Examiner Initials*	Cite No. ¹	U.S. Patent D	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	B37	2003/0034329	A1	Chou	2/20/2003	
	B38	2002/0042027	A1	Chou et al.	4/11/2002	
	B39	10/666,527		Bailey et al.	9/18/2003	
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	B41	4,722,878		Watakabe et al.	2/2/1988	
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	B43	10/670,980		Sreenivasan et al.	9/25/2003	
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	B52	6,716,754	B2	Hofmann et al.	4/6/2004	
	B53	2002/0135099	A1	Robinson et al.	9/26/2002	
	B54	5,028,366		Harakal et al.	7/2/1991	•

Examiner	Date
Signature	Considered

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Substitute	for form 1449A	/PTO		Complete if Known		
INE	ORMATIO	N DISCL	SCUDE	APPLICATION NUMBER	10/781,278	
	ATEMENT I			FILING DATE	02/18/2004	
317	- I CIVICIAI	DI AFFL	ICAN	FIRST NAMED INVENTOR	Sreenivasan et al.	
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Sheet	4	of	8	Examiner Name	Young, Christopher G.	
		<u>l</u> .		Attorney Docket Number	PA95-37D13	

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Examiner Initials*	Cite No. ¹	Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	
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	B55	5,601,641	<u> </u>	Stephens	2/11/1997		
	B56	5,849,209		Kindt-Larsen et al.	12/15/1998		
				 	 		
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	ATEMENT I			FILING DATE	02/18/2004
1	VI EIVIEIVI I		OAIT I	FIRST NAMED INVENTOR	Sreenivasan et al.
				Group Art Unit	1756
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Sheet	5	of	8	Attorney Docket Number	PA95-37D13

			•	FOREIGN	N PATENT DOCUMENTS			
Examiner Initials*	Cite No.1	Foreign Patent Document Office Number ⁴ (if known)		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵	
		,	*************					
	B57	wo	00/21689		Chou et al.	4/20/2000		
	B58	JP	02-92603		Kurikawa et al.	4/3/1990		
	B59	JP	02-24848		Kamio	1/26/1990		
	B60	wo	01/47003		Steiner et al.	6/28/2001		
	B61	wo	02/07199		Chou	1/24/2002		
	B62	wo	2004/114016		Chou et al.	12/29/2004	•	
	B63	wo	99/05724		Chou et al.	2/4/1999		
	B64	wo	03/010289		Chou et al.	2/6/2003		
	B65	wo	03/079416		Chou	9/25/2003		
	B66	wo	03/099536		Chou et al.	12/4/2003		

Examiner Signature	Date Considered	

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INFO	RMATION	DISCLO	SURE	APPLICATION NUMBER	10/781,278
STA	TEMENT B	Y APPLI	CANT	FILING DATE	02/18/2004
				FIRST NAMED INVENTOR	Sreenivasan et al.
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Sheet	6	of	8	Examiner Name	Young, Christopher G.
	1			Attorney Docket Number	PA95-37D13

OTHER PRI	OR ART	- NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	B67	Chou, Nanoimprint Lithography and Lithographically Induced Self-Assembly, MRS Bulletin, pp. 512 - 517, 7/1/2001	
	B68	Choi et al., Design of Orientation Stages for Step and Flash Imprint Lithography, Precision Engineering, pp. 192 - 199, 1/1/2001	
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	B70	Chou et al., Imprint Lithography with 25-Nanometer Resolution, Science Vol. 272, pp. 85 - 87, 4/5/1996	
	B71	Chou et al., Imprint Lithography with Sub-10 nm Feature Size and High Throughput, Microelectronic Engineering 35, pp. 237 - 240, 1/1/1997	
	B72	Haisma et al., Mold-assisted Nanolithography: A Process for Reliable Pattern Replication, J. Vac. Sci. Technol. B, pp 4124 - 4128, 11/1/1996	
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	B75	Chou et al., Lithographically Induced Self-assembly of Periodic Polymer Micropillar Arrays, J. Vac. Sci. Technol. B 17 (6), pp. 3197 - 3202, 11/1/1999	

Examiner Signature	Date Considered	

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OTHER PRI	OR ART -	- NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	B76	Colburn et al., Step and Flash Imprint Lithography: A New Approach to High-Resolution Patterning, Proc. Of SPIE, vol. 3676, 3/1/1999	
	B77	Heidari, Nanoimprint Lithography at the 6 in. Wafer Scale, J. Vac. Sci. Technol. B 18(6), pp. 3557 - 3560, 11/1/2000	
	B78	Translation of Japanese Patent 02-92603	
	B79	Translation of Japanese Patent 02-24848	
	B80	Chou et al., Ultrafast and Direct Imprint of Nanostructures in Silicon, Nature, Col. 417, (June 2002), pp. 835-837, 6/1/2002	
	B81	Chou et al., Nanoimprint Lithography, Journal of Vacuum Science Technolgoy B 14(16), pp. 4129-4133, 11/1/1996	
	B82	Colburn et al., Development and Advantages of Step-and-Flash Lithography, Solid State Technology, 7/1/2001	
	B83	Resnick et al., High Resolution Templates for Step and Flash Imprint Lithography, Journal of Microlithography, Microfabrication, and Microsystems. Vol 1. No. 3., 10/1/2002	
- 41	B84	Colburn et al., Characterization and Modeling of Volumetric and Mechanical Properties for Step and Flash Imprint Lithography Photopolymers, Journal of Vacuum Science Technology. Vol b.	

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19(6), 11/1/2001

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Sheet	8	of	8	Examiner Name	Young, Christopher G.
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	B86	Bailey et al., Step and Flash Imprint Lithography: Template Surface Treatment and Defect Analysis, Journal of Vacuum Science, B 18(6), pp. 3572-3577, 11/1/2000	
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